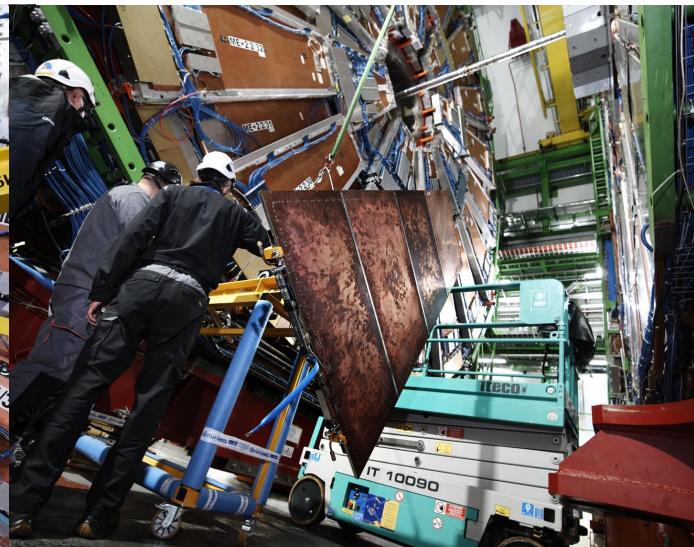
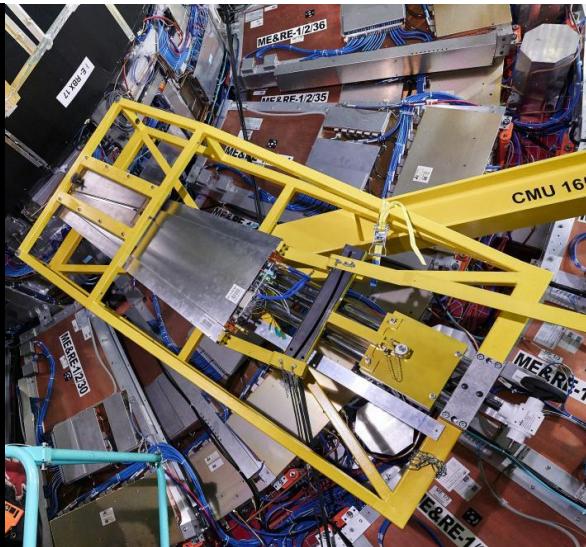
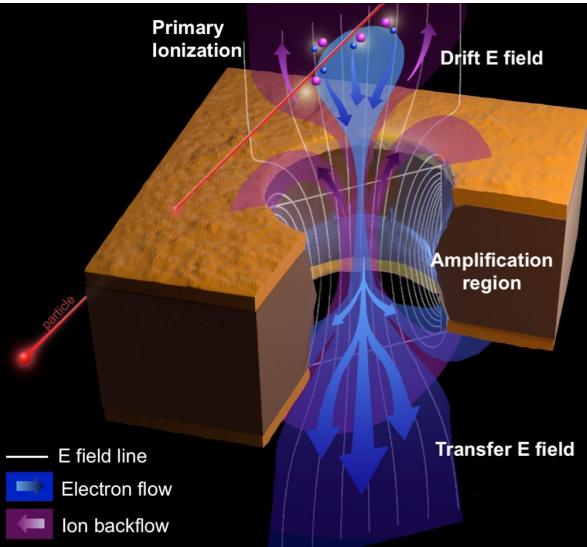


Background Rate Measurement of the GE1/1 Detector in the CMS Experiment

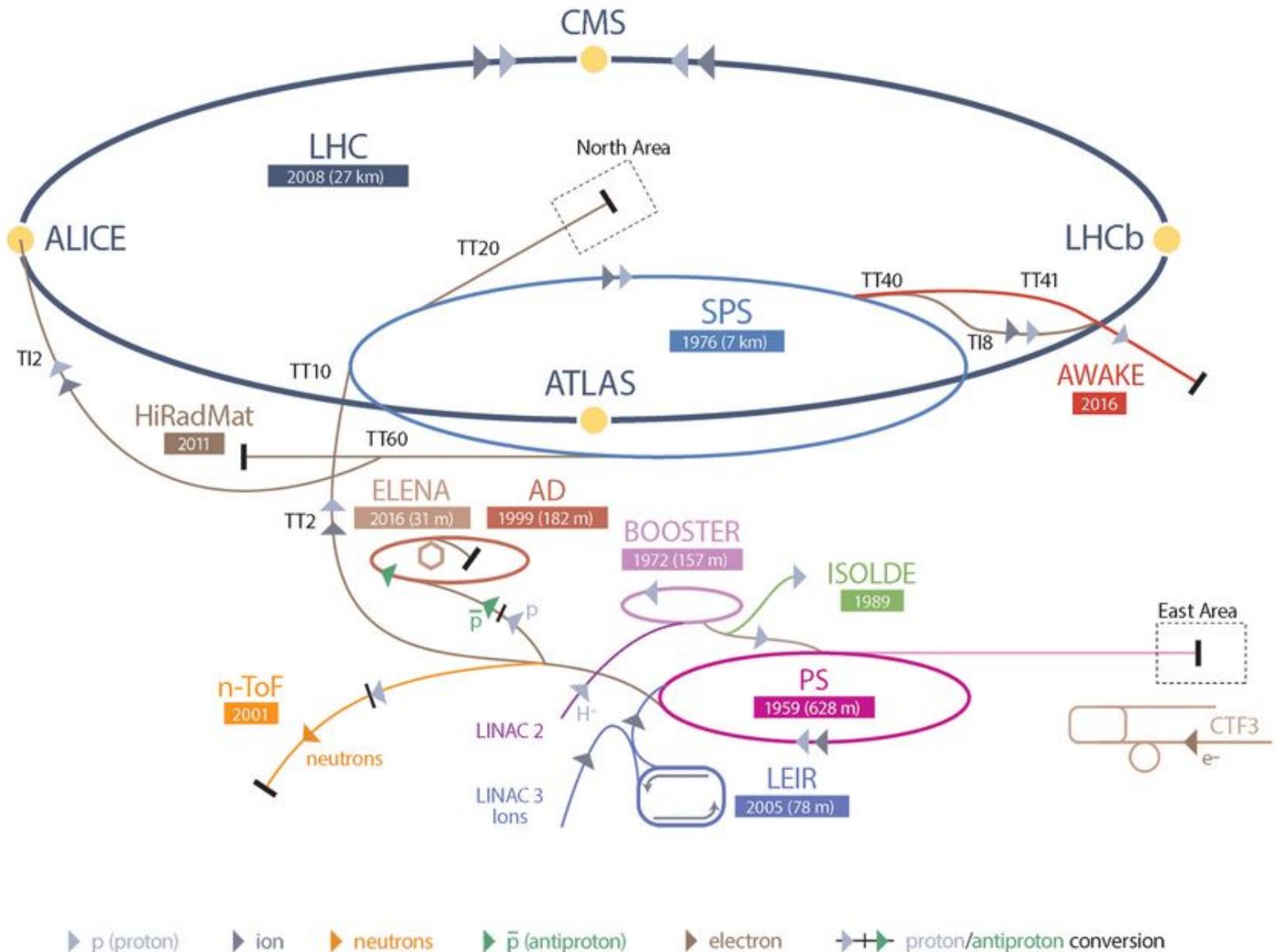
Jeewon Heo, Jason Lee, Ian James Watson (University of Seoul)
on behalf of the CMS collaboration

2025 NSRI Workshop, Yongpyong, 13-16 January 2026





Large Hadron Collider





CMS Detector



CMS DETECTOR

Total weight : 14,000 tonnes
Overall diameter : 15.0 m
Overall length : 28.7 m
Magnetic field : 3.8 T

STEEL RETURN YOKE
12,500 tonnes

SILICON TRACKERS
Pixel (100x150 μm) $\sim 1\text{m}^2 \sim 66\text{M}$ channels
Microstrips (80x180 μm) $\sim 200\text{m}^2 \sim 9.6\text{M}$ channels

SUPERCONDUCTING SOLENOID
Niobium titanium coil carrying $\sim 18,000\text{A}$

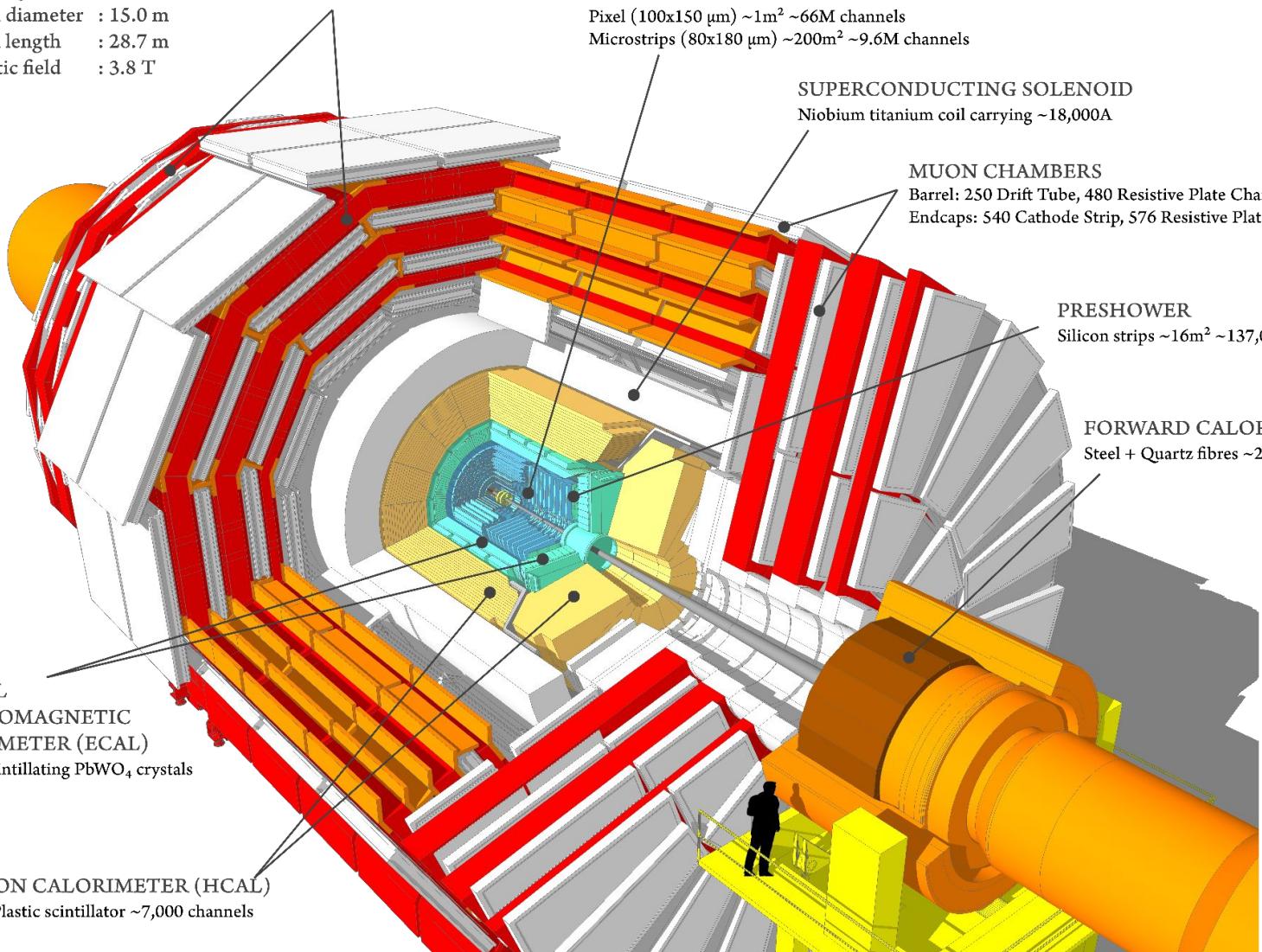
MUON CHAMBERS
Barrel: 250 Drift Tube, 480 Resistive Plate Chambers
Endcaps: 540 Cathode Strip, 576 Resistive Plate Chambers

PRESHOWER
Silicon strips $\sim 16\text{m}^2 \sim 137,000$ channels

FORWARD CALORIMETER
Steel + Quartz fibres $\sim 2,000$ Channels

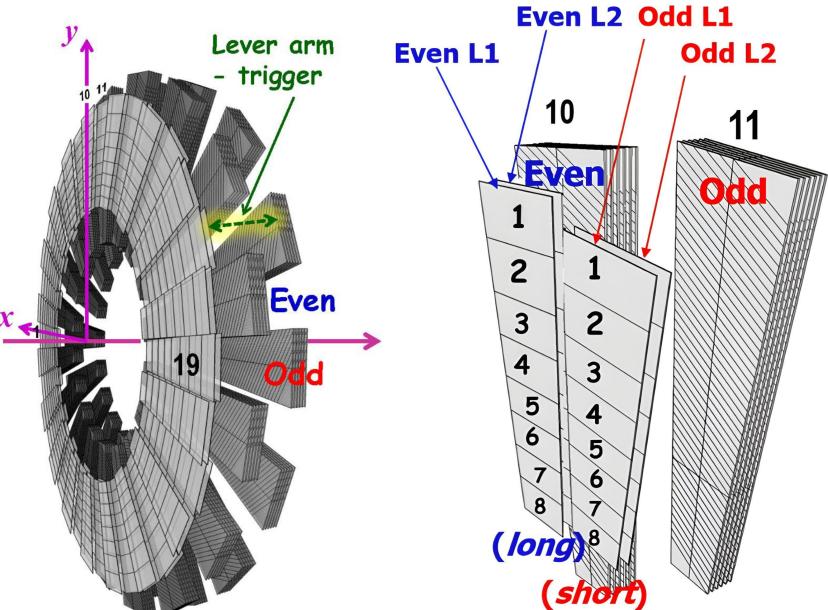
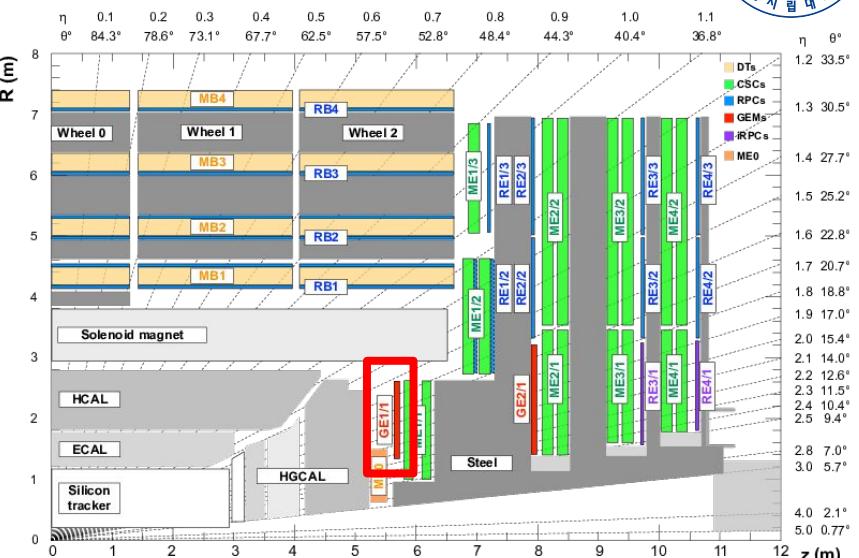
CRYSTAL
ELECTROMAGNETIC
CALORIMETER (ECAL)
 $\sim 76,000$ scintillating PbWO_4 crystals

HADRON CALORIMETER (HCAL)
Brass + Plastic scintillator $\sim 7,000$ channels



GE1/1 System

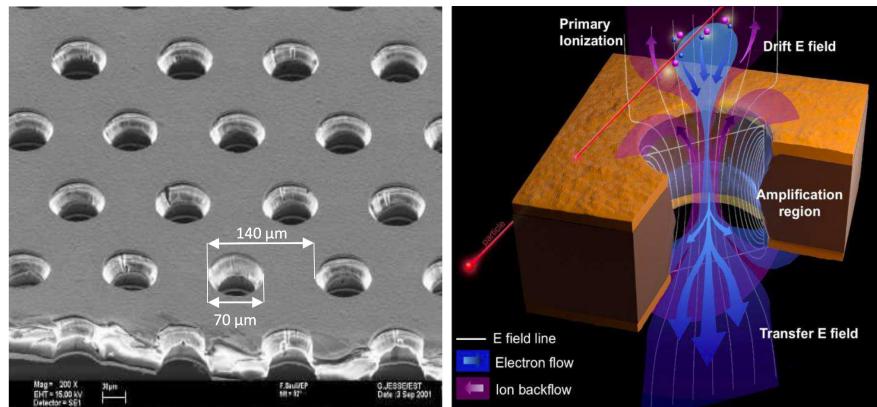
- ❑ Installed in the **forward region** of the CMS Endcap
 - ❑ η Coverage: $1.55 < |\eta| < 2.18$
- ❑ Detectors are organized in pairs of modules and two detectors define a Super-Chamber
- ❑ Super-Chambers are grouped by **layer**:
 - ❑ **Layer 1** (closer to the interaction point)
 - ❑ **Layer 2** (farther from the interaction point)
- ❑ The GE1/1 station consists of 36 super-chambers
 - ❑ **Short** chambers (odd number)
 - ❑ **Long** chambers (even number)
- ❑ Each chamber is divided in **8 η -partitions**
 - ❑ $\eta = 8$ (closer to the beamline)
 - ❑ $\eta = 1$ (farther from the beamline)



Gas Electron Multiplier (GEM)

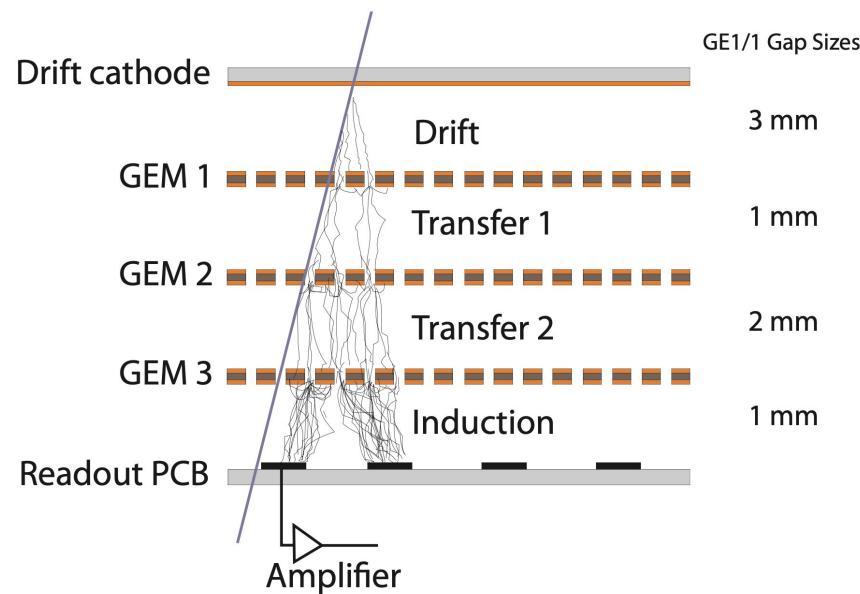
GEM Foil

- ❑ Micro-Pattern Gaseous Detector
- ❑ Thin polyimide sheet with dense microscopic holes
- ❑ Coated with copper on both sides



Triple-GEM detector

- ❑ Three GEM foils placed between Drift Board and readout PCB
- ❑ Gas Mixture: Ar/CO₂ (70/30)
- ❑ Ionization electrons are amplified in high electric fields across the GEM foils
- ❑ Triple-GEM configuration used in CMS muon system
 - ❑ **GE1/1: Fully Installed**
 - ❑ GE2/1: Partially installed
 - ❑ MEO: Will be installed during the LS3





Background Rate Measurement



Why background rate measurement in muon system?

- ❑ Background particles can make the efficient detection of muons more difficult
- ❑ As the HL-LHC reaches higher levels of instantaneous luminosity, the background rate is expected to increase
 - ⇒ Essential to understand the rate behavior with respect to instantaneous luminosity

Dataset information

- ❑ pp collision data at $\sqrt{s} = 13.6 \text{ TeV}$ (816 pb^{-1} recorded on the 15th October 2024)
- ❑ **ZeroBias** dataset (no physics object requirements, zero-bias random trigger)
- ❑ We normalize the hits and events to correct for the bunch crossing Id bias of the ZeroBias trigger menu

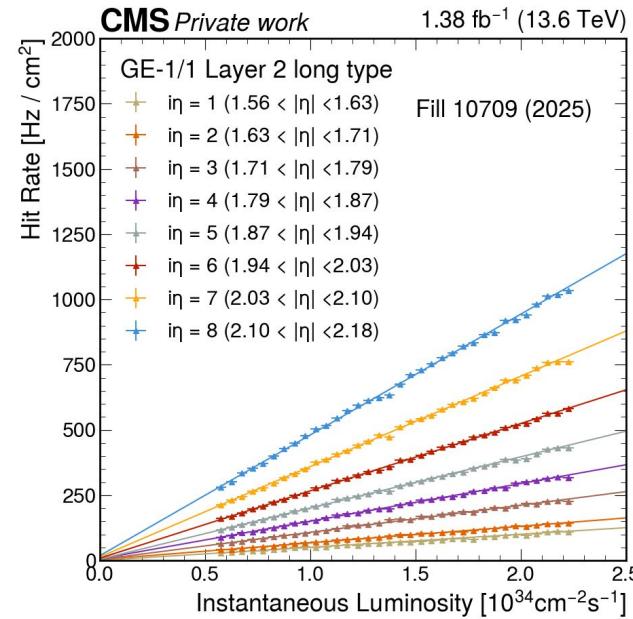
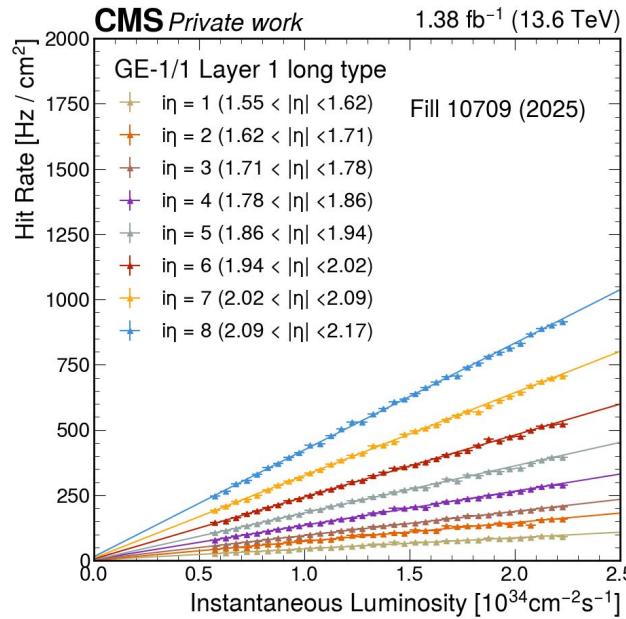
Rate definition

$$\text{Rate} = \frac{\sum_i^n N_i^{\text{hits}}}{n \cdot \Delta t \cdot A}$$

- ❑ N_i^{hits} : Number of hits registered by a GEM chamber or eta partition in event i
- ❑ n : Number of events
- ❑ Δt : GE1/1 event time widow (25ns x 8 BXs)
 - ❑ When an event is saved, GE1/1 readout includes surrounding bunch crossings (total 8 BXs)
 - ❑ During commissioning, the delay was tuned by comparing the GEM signal with muon trigger information to maximize the hit detection efficiency ([CMS DP-2024/125](#))
- ❑ A : Effective area of detector

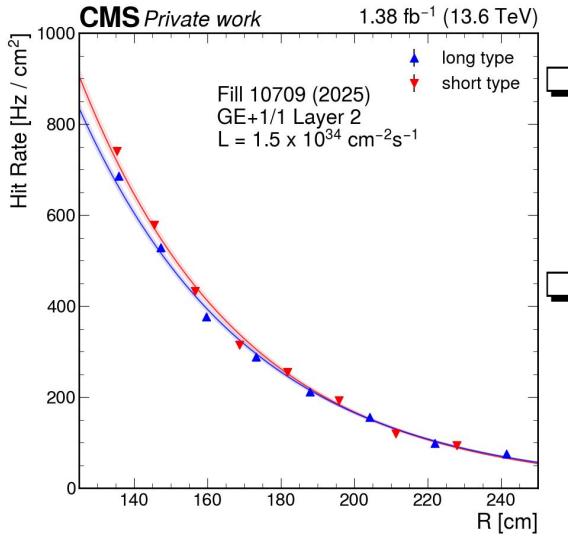
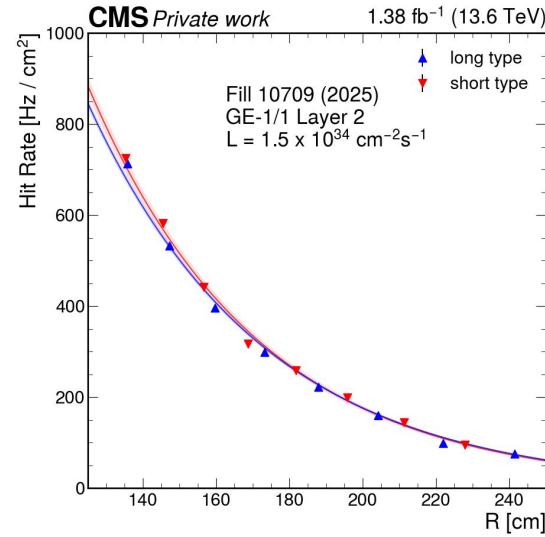
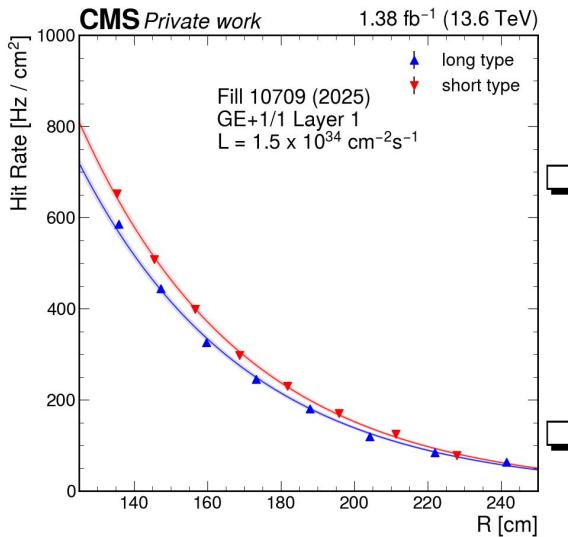
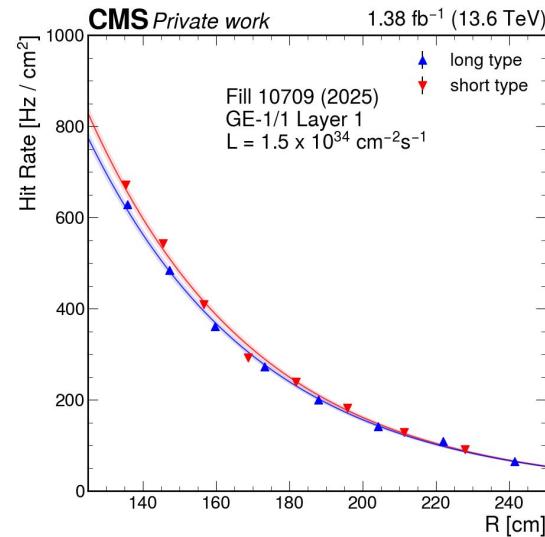
Rate vs Instantaneous Luminosity

- ❑ Hit rate for GE1/1 negative endcap Layer 1 (long-type and short-type)
- ❑ η -partitions with efficiency > 95% were used for the rate measurement
- ❑ Each data point represents the average hit rate per unit area in a given η -partition, for both long-type (left) and short-type (right) chambers
- ❑ Linear fits were performed for each η -partition with the y-intercept constrained to be positive





Rate vs Distance from Beamlime



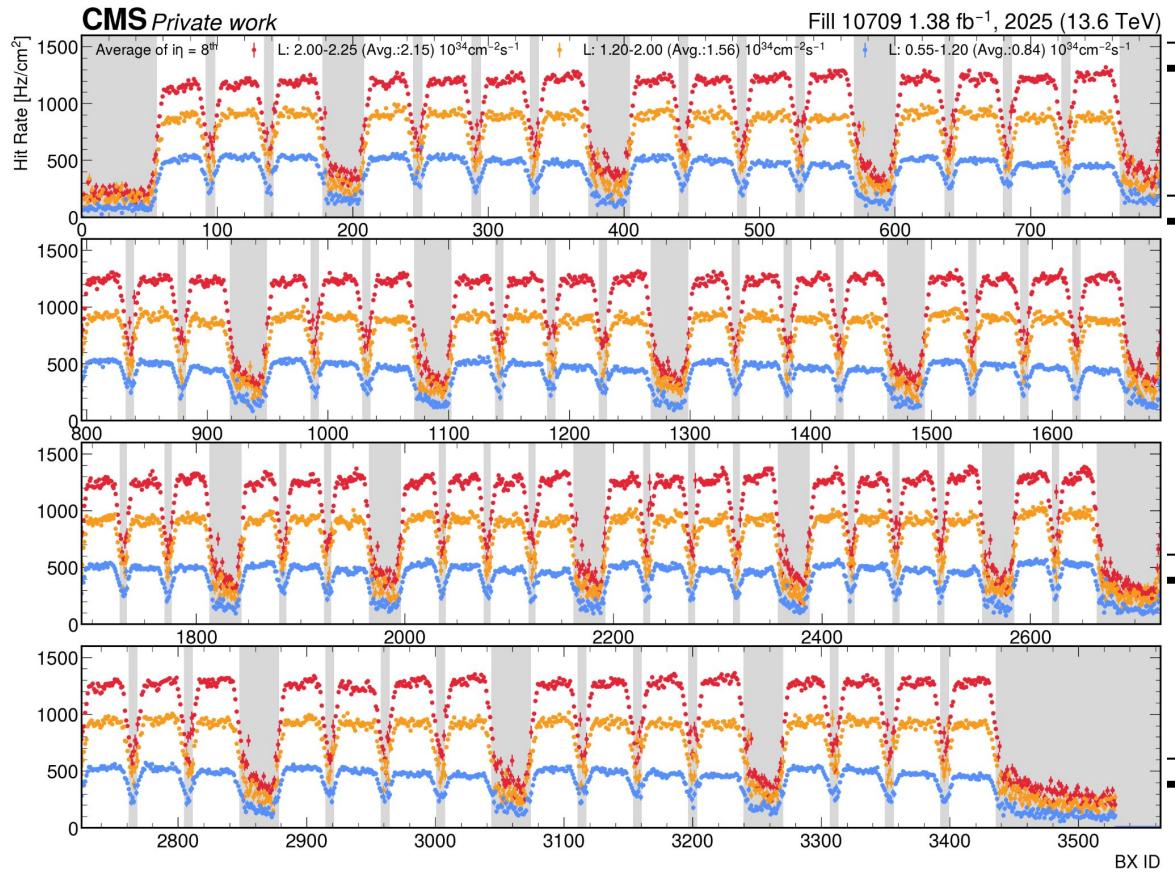
Hit rate as a function of R (distance from the centre of each η -partition to beamline axis) at an instantaneous luminosity of $1.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

The hit rates are interpolated from the linear fits in the hit rate as a function of instantaneous luminosity

An exponential fit is applied to model the radial dependence of the hit rate for each chamber type

Shaded bands represent the fit uncertainties

Rate vs Bunch Crossing ID



Average hit rate of $\eta = 8$ partitions as a function of bunch crossing ID (BX ID)

Rates are shown for two ranges of instantaneous luminosity

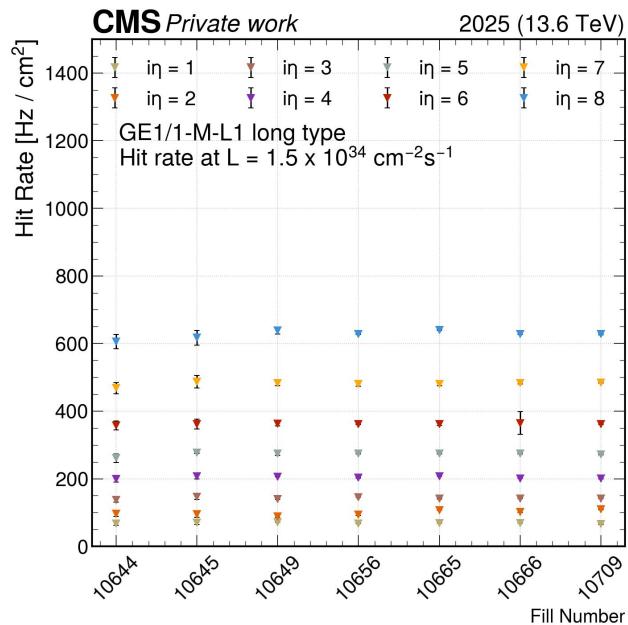
- $1.40 - 1.80 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
(average: $1.57 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$)
- $1.80 - 2.15 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
(average: $2.03 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$)

The hit rate is evaluated per BX ID and shows the expected periodic structure from the LHC bunch filling scheme

Shaded regions with dashed lines indicate non-colliding bunches, where no bunches interacted at the interaction point

* BX ID: ID assigned to each 25 ns time of the LHC Fill (up to 3564 bunch slots)

Rate Stability across Fills



- ❑ Rates of GE1/1 negative endcap Layer 1 long type using 2025 Fills that have varying number of colliding bunches
- ❑ Despite varying number of colliding bunches, the luminosity-normalized background rate remains stable across different fills



Summary

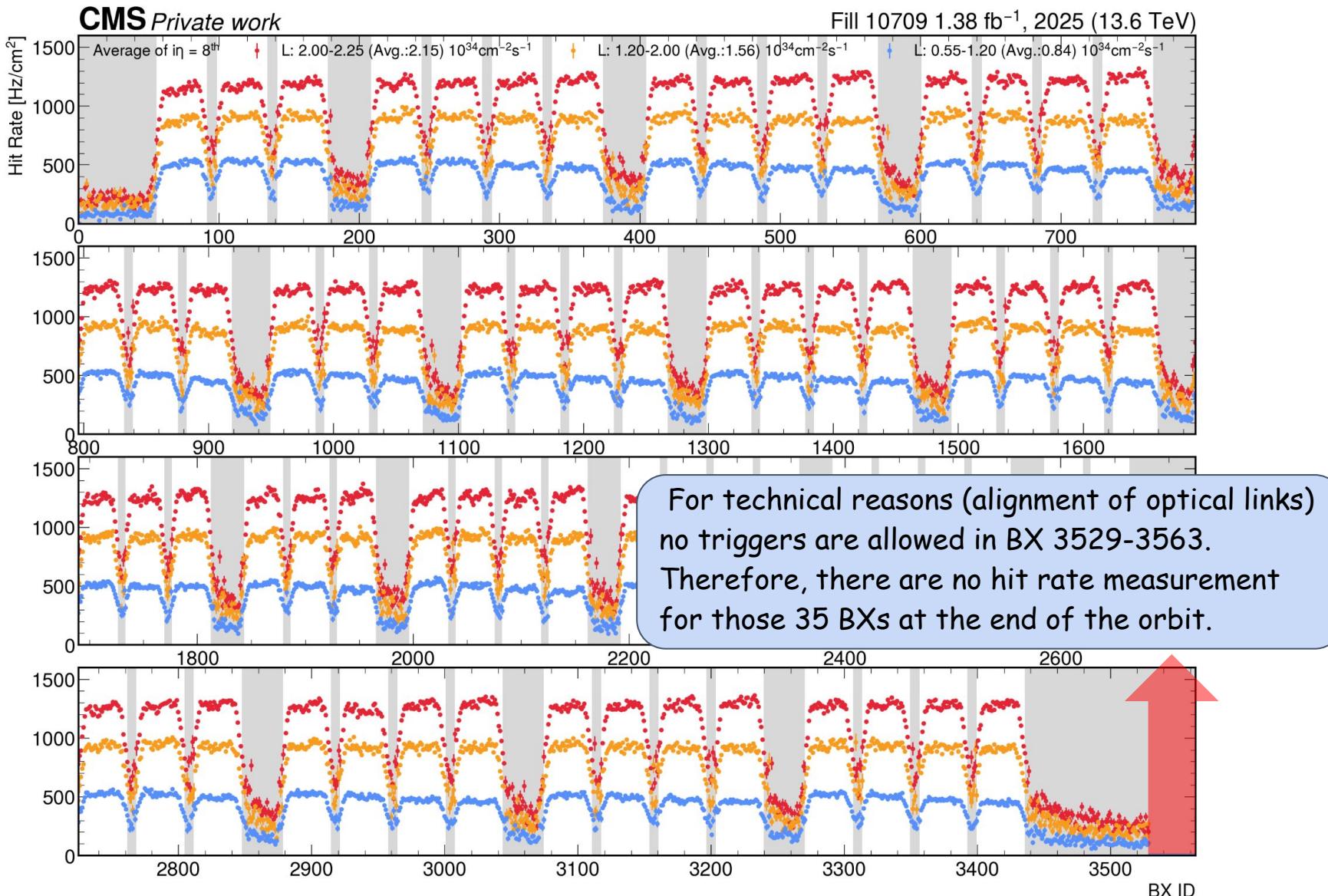


- ❑ GE1/1 background rates at $\sqrt{s} = 13.6$ TeV (1.38 fb^{-1} , May 2025) were measured to understand performance impact under increased HL-LHC luminosity

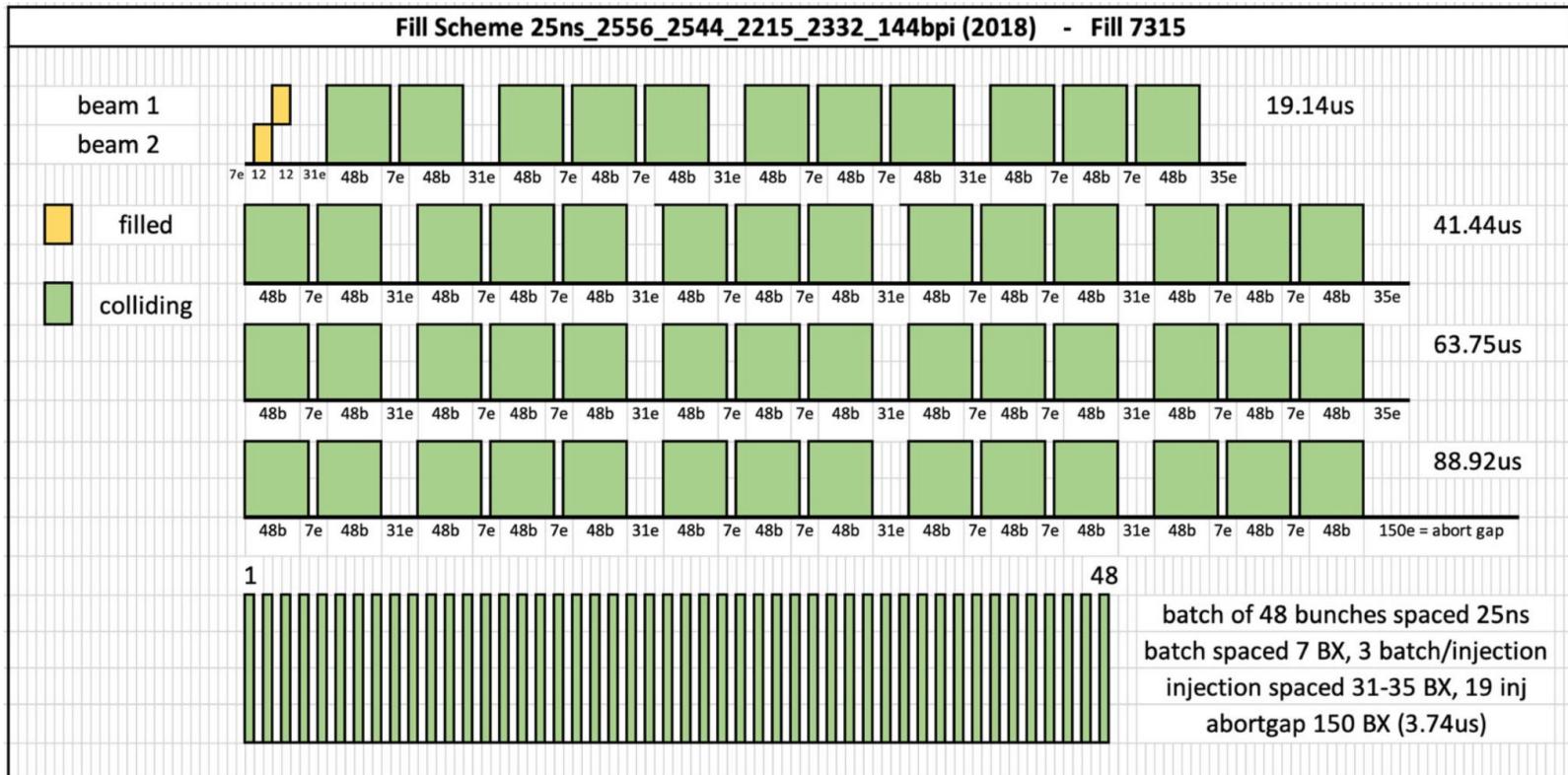
- ❑ Measurements show consistent trends
 - ❑ Rate vs Instantaneous Luminosity: **Linear increase** along instantaneous luminosity
 - ❑ Rate vs Radius: **Exponential decrease** as a function of distance from beamline
 - ❑ Rate vs BX ID: Periodic pattern **matches with LHC bunch structure**
 - ❑ Rate stability over fills: **Stable rate across different fill configurations** in 2025

backup

Rate vs Bunch Crossing



Fill Scheme



Ref: [Eur. Phys. J. C \(2024\) 84:955](https://doi.org/10.1140/epjc/s10050-024-10005-1)



Analysis Procedure

GE1/1 Background Rate Analysis Procedure

- ❑ Extract GE1/1 and event information from muon background ntuple (processed from zero-bias random trigger dataset)
- ❑ Apply data cleaning
 - ❑ Chambers with **> 30% unfired strips** in any run are excluded
 - ❑ Flower events are filtered ([CMS DP-2024/051](#))
 - ❑ **Inactive VFAT3** readout chips are excluded using the signal from the frontend firmware
 - ❑ **Hot strips** (fired in $> 0.1\%$ of events in a run) are removed in that run
 - ❑ **Muon certification mask** is applied to select good LSs, where muon subdetectors are operating as expected
 - ❑ Chambers or η -partitions with $> 95\%$ global muon efficiency in the Offline Data Quality Monitoring (DQM) are used in the following results
 - ❑ This efficiency is obtained with global muons that pass the tight identification criteria and have $p_T > 20$ GeV
- ❑ **Hot strips and inactive VFAT3s** are not included in the detector area calculation



Background Rate Measurement

Rate definition

$$\text{Rate} = \frac{\sum_i^n N_i^{\text{hits}}}{n \cdot \Delta t \cdot A}$$

- ❑ n : Number of events in a given context
 - ❑ For rate at certain instantaneous luminosity,
 n refers to the number of events within a specific **luminosity bin**
 - ❑ For time-based background rate,
 n is the number of events in each **Luminosity Section (LS)**; the reference data collection period used by CMS corresponding to ~ 23 seconds
 - ❑ For rate at specific bunch crossing ID,
 n corresponds to events in a specific **bunch crossing ID (BX ID)**
- ❑ N_i^{hits} : Number of hits registered by a GEM chamber or eta partition in event i
- ❑ Δt : GE1/1 event time widow (25ns x 8 BXs)
 - ❑ When an event is saved, GE1/1 readout includes surrounding bunch crossings (total 8 BXs)
- ❑ A : Effective area of detector